

1764

<b>TRANSMITTAL OF INFORMATION DISCLOSURE</b> <b>STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>COPY OF PAPERS</b> <b>ORIGINAL FILED</b> No. <b>2001B083</b>
<b>In Re Application of: Lattner, et al</b>			
<b>U.S. Serial No.</b> 09/943,821	<b>Filing Date</b> 8/31/01	<b>Examiner</b> Not Yet Assigned	<b>Group Art Unit</b> 1764

Address to:  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

**RECEIVED**  
**FEB 07 2002**  
**TC 1700**

### 37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

### 37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or  
 the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

### 37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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**CIPE / JCWS**

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:  
☐ Continuation/ Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

12/21/01  
(Date)

Paul T. LaVoie  
Paul T. LaVoie  
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**CERTIFICATE UNDER 37 C.F.R. §1.8(a)**

I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope with sufficient postage affixed and addressed to Commissioner of Patents and Trademarks, Washington, DC. 20231, on December 21, 2001.

Cecilia J. Kopza  
Cecilia J. Kopza

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO. 2001B083		SERIAL NO. 09/943,821	
				APPLICANT Lattner, et al			
				FILING DATE 8/31/01		GROUP 1764	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,035,284	7/12/77	Gross, et al	208	120	
	AB	4,664,888	5/12/87	Leonce F. Castagnos, Jr.	422	147	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
	AK						
	AL						
	AM						
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
EXAMINER				DATE CONSIDERED			
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant							

# **FREE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.  
These are the fees effective November 10, 1998

Complete If Known

Application Number	09/943,821
Filing Date	8/31/01
First Named Inventor	Smith, et al
Group Art Unit	1764
Examiner Name	Not Yet Assigned
Attorney Docket Number	2001B083

Total Amount of Payment **\$0.00**

## METHOD OF PAYMENT

☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **05-1712**

Deposit Account Name: **ExxonMobil Chemical - Basic Chemicals**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee \$	Fee Description	Fee Paid \$
101	740	Utility filing fee	\$
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	

**SUBTOTAL (1) (\$)**

### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
0 - 20 = 0 x	18 =	0.00	

Independent Claims	0 - 3 = 0 x	84 =	0.00
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Multiple Dependent Claim	280 =	0.00
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Large Entity Fee Code	Fee \$	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim
109	84	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath or declaration	
127	50	Surcharge - late provisional filing or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112	920*	Req. publication of SIR prior to Examiner action	
113	1840*	Req. publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1440	Extension for reply within fourth month	
128	1960	Extension for reply within fifth month	
119	320	Notice of appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1280	Petition to revive - unintentional	
142	1280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Stmt.	\$0.00
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	

Other Fee (specify) \_\_\_\_\_

Other Fee (specify) \_\_\_\_\_

\*Reduced by basic filing fee paid

**SUBTOTAL (3)**

\$0.00

## SUBMITTED BY

Typed or Printed Name **Paul T. LaVoie**

Signature **Paul T. LaVoie**

Date **December 21, 2001**

## Complete (if applicable)

R g. Number **36,861**

Deposit Account User ID **05-1712**

TRANSMITTAL FORM

In re  
Application of: Smith, et al  
Serial No.: 09/943,821  
Filed: 8/31/01  
For: Circulating Fluid Bed Reactor System

§ Before the Examiner Not Yet Assigned  
§  
§ Group Art Unit No. 1764  
§  
§ Attorney Docket No. 2001B083  
§

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

- [ X ] The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to Hon. Commissioner for Patents, Washington, DC 20231, on December 21, 2001.

Cecilia J. Kobza

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

Transmitted herewith is the Information Disclosure Statement in the above-identified application.

- [ X ] Fee for the Information Disclosure Statement is \$0.00.
- [ X ] The total fee for this Information Disclosure Statement and any extension of time is calculated to be \$0.00.
- [ X ] Charge \$0.00 to Deposit Account No. 05-1712.
- [ X ] The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account No. 05-1712. A duplicate copy of this Form is enclosed.

December 21, 2001

Date of Signature



Attorney or Agent

Paul T. LaVoie

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PATENT OFFICE MAIL ROOM - Please place the mailroom date stamp on this card to indicate receipt by the U.S. Patent Office of the paper identified below and return same to us.

Application Serial No. 091943, 821 Filed 8/31/01

Type of Paper Fee Transmitted; Drawings  
Form; IDS Transmitted; IDS

Family No. 20013083

Date Mailed 12/2/01

DTL/ek